

PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$) 240.00

## Complete if Known:

Application No. 09/658,393Filing Date 09/08/2000First Named Inventor Kathrin Berkner, et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 074451.P110**METHOD OF PAYMENT** (check one)

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666Deposit Account Name Blakely, Sokoloff, Taylor & Zafman, LLP

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	
Code	Fee	Code	
101	710	201	Utility application filing fee
106	320	206	Design application filing fee
107	490	207	Plant filing fee
108	710	208	Reissue filing fee
114	150	214	Provisional application filing fee

SUBTOTAL (1) \$ 0**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>- 20** =</u>	X	=
Independent Claims	<u>- 3** =</u>	X	=
Multiple Dependent			=

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
<u>Code</u>	<u>(\\$)</u>	<u>Code</u>	<u>(\\$)</u>	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0



(5) a check in the amount of \$110.00 in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: January 11, 2001

  
Michael J. Mallie  
Reg. No. 36,591

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8598

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on January 11, 2001

Date of Deposit

Mara E. Brown

Name of Person Mailing Correspondence

Mara E. Brown  
Signature

1/11/01  
Date

SECTOR R&amp;D



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/658,393	09/08/2000	Kathrin Berkner	074451.P110

Michael J Mallie  
 Blakely Sokoloff Taylor & Zafman LLP  
 Seventh Floor  
 12400 Wilshire Boulevard  
 Los Angeles, CA 90025-1026



## FORMALITIES LETTER



\*OC000000005497466\*

Date Mailed: 10/23/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
  
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

*chris jn ctin*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

130.00 0P

01/19/2001 ADDITION 00000016 09658393

FC:105



Attorney's Docket No.: 074451.P110

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Kathrin Berkner et al. )  
Application No.: 09/658,393 )  
Filed: September 8, 2000 )  
For: WAVELET-BASED IMAGE PROCESSING )  
PATH \_\_\_\_\_)

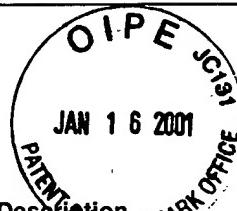
Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed October 23, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Petition for a 1 month extension of time under 37 C.F.R. § 1.136(a); and

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee Code	Fee (\$)	Fee Code (\$)			
105	130	205	65	Surcharge - late filing fee or oath	<u>130.00</u>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	<u>110.00</u>
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 240.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Michael J. Mallie

Signature: \_\_\_\_\_ Date: January 11, 2001

Reg. Number: 36,591 Telephone Number: 408-720-8300

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



## FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on 1/11/01

Date of Deposit

Mara E. Brown

Name of Person Mailing Correspondence

Mara E. Brown

1/11/01

Signature

Date

Serial/Patent No.: 09/658,393 Filing/Issue Date: 09/08/00  
Client: Ricoh Corporation  
Title: WAVELET-BASED IMAGE PROCESSING PATH

BSTZ File No.: 074451.P110  
Date Mailed: 01/11/2001

Atty/Secty Initials: MJM/mzb  
Docket Due Date: 12/23/00

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (       pgs.)       Express Mail No.: \_\_\_\_\_  
 Appeal Brief (       pgs.) (in triplicate)       one Month(s) Extension of Time       Check No. 40235  
 Application - Utility (       pgs., with cover and abstract)       Information Disclosure Statement & PTO-149 (       pgs.)      Amt: \$ 130.00  
 Application - Rule 1.53(b) Continuation (       pgs.)       Issue Fee Transmittal  
 Application - Rule 1.53(b) Divisional (       pgs.)       Notice of Appeal       Check No. 40236  
 Application - Rule 1.53(b) CIP (       pgs.)       Petition for Extension of Time      Amt: \$ 110.00  
 Application - Rule 1.53(d) CPA Transmittal (       pgs.)       Petition for \_\_\_\_\_  
 Application - Design (       pgs.)       Postcard  
 Application - PCT (       pgs.)       Power of Attorney (       pgs.)  
 Application - Provisional (       pgs.)       Preliminary Amendment (       pgs.)  
 Assignment and Cover Sheet       Reply Brief (       pgs.)  
 Certificate of Mailing       Response to Notice of Missing Parts  
 Declaration & POA (       pgs.) (signed)       Small Entity Declaration for Indep. Inventor/Small Business  
 Disclosure Docs & Orig & Copy of Inventor's Signed Letter (       pgs.)       Transmittal Letter, in duplicate  
 Drawings:        # of sheets includes        figures       Fee Transmittal, in duplicate

Other: Notice to File Missing Parts Copy.